Calculations of the Triton Binding Energy with a Lorentz Boosted Nucleon-Nucleon Potential

H. Kamada\textsuperscript{1,a}, W. Glöckle\textsuperscript{2}, H. Witka\textsuperscript{3}, J. Golak\textsuperscript{3}, R. Skibiński\textsuperscript{3}, W. N. Polyzou\textsuperscript{4}, Ch. Elster\textsuperscript{5}

\textsuperscript{1} Department of Physics, Faculty of Engineering, Kyushu Institute of Technology, 1-1 Sensuicho Tobata, Kitakyushu 804-8550, Japan

\textsuperscript{2} Institut für theoretische Physik II, Ruhr-Universität Bochum, D-44780 Bochum, Germany

\textsuperscript{3} M. Smoluchowski Institute of Physics, Jagiellonian University, PL-30059 Kraków, Poland

\textsuperscript{4} Department of Physics and Astronomy, The University of Iowa, Iowa City, IA 52242, USA

\textsuperscript{5} Institute of Nuclear and Particle Physics, and Department of Physics, Ohio University, Athens, Ohio 45701, USA

Abstract. We study the binding energy of the three-nucleon system in relativistic models that use two different relativistic treatments of the potential that are phase equivalent to realistic NN interactions. One is based on a unitary scale transformation that relates the non-relativistic center-of-mass Hamiltonian to the relativistic mass (rest energy) operator and the other uses a non-linear equation that relates the interaction in the relativistic mass operator to the non-relativistic interaction. In both cases Lorentz-boosted interactions are used in the relativistic Faddeev equation to solve for the three-nucleon binding energy. Using the same realistic NN potentials as input, the solution of the relativistic three-nucleon Faddeev equation for $^3\text{H}$ shows slightly less binding energy than the corresponding nonrelativistic result. The effect of the Wigner spin rotation on the binding is very small.

1 Introduction

For up to 300 MeV proton energy, proton-deuteron (pd) scattering measurements have been analyzed with rigorous three-nucleon (3N) Faddeev calculations [1] based on the CD-Bonn potential [2] and the Tucson-Melbourne 3N force (3NF) [3]. Comparing theoretical calculations to the recent precise measurements of pd scattering data [4–8] indicates that theoretical predictions based on two-nucleon forces alone are not sufficient to describe the data above about 100 MeV. The minimum of the differential cross section has been discussed as the first signal of the 3NF effects, which are already seen below 100 MeV [9–11]. However, presently available 3NF’s only partially improve the description of cross section data and spin observables. Since most of the cited calculations are based on the non-relativistic formulation of the Faddeev equations [12], one needs to question if in the intermediate energy regime a Poincaré invariant formulation is required.

There are different formulations of the relativistic few-body problem. Our calculations are based on an exact realization of the symmetry of the Poincaré group in three-nucleon quantum mechanics [13]. The mass operator (rest energy operator) consists of the relativistic kinetic energy together with two- and three-body interactions, including their boost corrections [14]. Our approach differs from a manifestly covariant scheme linked to a field theoretical approach [15].

The first attempt in solving the relativistic Faddeev equation for the three-nucleon bound state based on second approach has been carried out in [16], resulting in a decrease of the binding energy compared to the nonrelativistic result. On the other hand, similar calculations based on the field theory approach [15] increase it. These contradictory results require more investigation.

Because the result might be dependent how to transform nonrelativistic potential into relativistic potential, a momentum scale transformation [17] (MST) was introduced without any additional parameters. Of course, the scale transformation method is not equivalent to the construction of a relativistic potential from a field theory. However, the scale transformation is a very useful and simple parameterization of a relativistic NN potential, which preserves the NN phase shifts exactly. In the s-wave approximation we solved the relativistic Faddeev equation with

\textsuperscript{a} e-mail: kamada@mns.kyutech.ac.jp
Lippmann Schwinger equation: relativistic, respectively. Where subscriptions
as $v$ and $m$ are the $t$-matrix and nucleon mass, respectively.

On the other hand there are relations between c.m. kinetic energy $E$ and relative momentum $k$ for the relativistic and nonrelativistic formalism,

$$E_r = 2 \sqrt{m^2 + k_r^2 - 2m},$$

$$E_{nr} = \frac{k_{nr}^2}{m},$$

where subscriptions $r$ and $nr$ denote relativistic and nonrelativistic, respectively.

Our relativistic potential $v_r$ appears in the relativistic Lippmann Schwinger equation:

$$t_r(p, p'; E) = v_r(p, p') + \int \frac{v_r(p, p'')v_r(p', p''): E)}{E - p''^2/m + i\epsilon} dp''$$

(1)

where $t_r$ is a relativistic $t$-matrix. Note that in Eq.(1) and Eq.(3) there are no subscripts ($r$ or $nr$) for $E$ and $k$ (or $p$) before entering the next subsection. The energies $E$ in Eq.(1) and in Eq. (3) are not necessarily equal. The momenta $k$ and $p$ in Eq.(1) and in Eq. (3) are not necessarily equal neither.

### 2.1 The Momentum Scale Transformation

In order to build the potential $v$ one may identify the energy $E$ of Eq.(1) just as a c.m. energy $E_{cm}$ which is measured in experiment. There is an interpretation [17]:

$$E = E_{cm} = E_{nr}.$$

(4)

For this choice, $k_r \neq k_{nr}$. Eq.(1) is rewritten as

$$n(p, p'; E) = v(p, p') + \int \frac{v(p, p'')v(p', p''): E)}{E - p''^2/m + i\epsilon} dp''$$

$$= v(p, p') + \int \frac{v(p, p'')v(p', p''): E)}{2 \sqrt{m^2 + k_r^2 - 2m^2 + p''^2 + i\epsilon}} dp''$$

$$= v(p, p') + \frac{1}{h(p_{nr})} \int \frac{v(p, p'')v(p', p''): E)}{2 \sqrt{m^2 + k_r^2 - 2m^2 + p''^2 + i\epsilon}} \times J(p_{nr}) dp''$$

(5)

where $J$ is the Jacobian. Under the interpretation of Eq. (4) the nonrelativistic momentum $k_{nr}$ is a function of $k_r$:

$$k_{nr} = k_{nr}(k_r) = \sqrt{2m} \sqrt{m^2 + k_r^2 - m}.$$  

(6)

One defines

$$v_r(p, p') = \frac{1}{h(p_{nr})} v(p_{nr}, p_{nr}'),$$

$$t_r(p, p'; E) = \frac{1}{h(p_{nr})} t(p_{nr}, p_{nr}'; E) \frac{1}{h(p_{nr}')},$$

(7)

with

$$h(p_{nr}) \equiv \sqrt{1 + \frac{p_{nr}^4}{2m^2}} \sqrt{1 + \frac{k_{nr}^2}{4m^2}} \equiv \frac{1}{\sqrt{J(p_{nr})}}.$$  

(8)

The amplitudes $t_r$ and $v_r$ are related by solving the relativistic LS equation:

$$t_r(p, p'; E) = v_r(p, p') + \int \frac{v_r(p, p'')t_r(p', p''): E)}{2 \sqrt{m^2 + k_r^2 - 2m^2 + p''^2 + i\epsilon}} dp''$$

(9)

therefore, one could identify them as relativistic amplitudes. We call Eqs. (6-8) momentum scale transformation (MST) [17].
2.2 Coester-Pieper-Serduke Scheme

There is another identification. Instead of Eq. (4) one employs the following relation among momenta;

\[ k = k_{ex} = k_{nr} = k_{r}, \]

where \( k_{ex} \) is the experimental momentum. In this case it is natural to add an interaction to \( k^2/m \) so the square of the two-body invariant mass operator becomes

\[ M^2 = 4m^2 + 4m(\frac{p^2}{m} + \delta). \]

Because this is function of the non-relativistic Hamiltonian, it has the same eigenfunctions as the non-relativistic Hamiltonian as a function of the relative momentum, \( k \). Since the phase-shifts can be extracted from the scattering wave functions, this mass operator has the same phases shifts at the non-relativistic Hamiltonian as a function of relative momentum.

The non-relativistic Lippmann-Schwinger equation still holds (1), but in the relativistic case the interaction, energy, and transition operator that appear in this equation have different interpretations than they do in the non-relativistic case.

The relation

\[ M^2 = (M_0 + \delta_v)^2 = M_0^2 + 4m\delta \]

leads to the identity

\[ \{M_0, \delta_v\} + \delta_v^2 = 4mv_{nr} \]

which can be expressed in terms of the relative momentum operator \( k \) as

\[ 4m\delta = 2\sqrt{m^2 + k^2}\delta_v + 2\sqrt{m^2 + k^2}v_v + (v_v)^2 = 4mv_{nr}. \]

Equation (14) can be expressed as the momentum space integral equation

\[ 4mv_v(p, p') = (2\sqrt{m^2 + p^2} + 2\sqrt{m^2 + p'^2})v_v(p, p') + \int v_v(p, p'')v_v(p'', p')d^3p''. \]

This is a nonlinear integral equation for \( v_v \) given \( v_v \). Iteration technique of solving Eq.(15) is useful [20]. The solution \( v_v \) is then used in Eq. (3). We call it Coester-Pieper-Serduke scheme (CPS).

2.3 Relation to Realistic Potentials

The relativistic potentials discussed here were not built directly from a relativistic Lagrangian. The nucleon-nucleon potentials were generated by requiring that they predict the experimental phase shifts. Since realistic non-relativistic potentials are constructed to fit experimental phase shifts, relativistic interactions can be constructed by requiring that they lead to the same phase shifts as the non-relativistic potentials as a function of center of momentum energy (MST) or center of momentum momentum (CPS). The MST potentials also lead to the same deuteron binding as the non-relativistic calculation while the (CPS) potentials produce the same deuteron wave numbers as the non-relativistic calculation. The quality of \( v_v \) obtained by each scheme was discussed in [21].

There are some realistic potentials, for instance, the Argonne V18 potential [22], is suitable to MST because of the ansatz of Eq. (4). The CD Bonn potential [2] and Nijmegen potential [23] are suitable to CPS because of the ansatz of Eq. (10) (See Fig.1).

The two approaches are not equivalent, but the differences at low energies are primarily due to off-shell effects.

3 The Boosted Potential

As shown in Section 2 schemes generating relativistic potential out of nonrelativistic interactions are rather artificial. Comparing these schemes the boost correction in Bakamjian-Thomas framework is natural and unique.

Cluster properties require that the energy is additive. Because of the non-linear relations between the mass and energy in special relativity, the additivity of energies in the rest frame implies a non-linear relation between the two-body interactions in the two and three-body mass operators [13]. We call the two-body interaction in the three-body mass operator the boosted potential \( v_q \):

\[ \delta_q \equiv \sqrt{(2\sqrt{m^2 + k^2} + \delta_v)^2 + q^2} - \sqrt{4(m^2 + k^2) + q^2}. \]

where the spectator momentum \( q \) in the 3-body center of mass is simultaneously the negative total momentum of the pair. In the 3-body system the momentum \( q \) is operator but it behaves as c-number in the subsystem.
Using Eq. (14) this can be rewritten as
\[
\hat{v}_q = \frac{\sqrt{4(m^2 + \vec{k}^2 + m\hat{q}^2)}}{2\sqrt{m^2 + \vec{k}^2 + q^2}} (17)
\]
potential in CPS scheme. Eq. (17) is rewritten as
\[
4m\hat{v} = 2\sqrt{m^2 + \vec{k}^2 + q^2/4} \hat{v}_q + 2\bar{v}_q \sqrt{m^2 + \vec{k}^2 + q^2/4} + (\bar{v}_q)^2 (18)
\]
This has a similar structure to Eq. (14). We have a representation in momentum space:
\[
4mv_p(p, p') = (2\sqrt{m^2 + p^2 + q^2/4} + 2\sqrt{m^2 + p'^2 + q^2/4})v_q(p, p') + \int v_q(p, p'')v_q(p'', p')d(p''). (19)
\]
This is a nonlinear integral equation for \(v_q\) in terms of \(v_p\). Again, Eq. (19) is solved by the same iterative technique used in [20].

We would like to emphasize again that Eq. (18) is naturally extended from Eq. (14). However, Eq. (18) is not only to be available for CPS scheme. In [18] the MST potential was boosted by a different way related to a Møller operator. The boost correction to the MST potential can also be calculated by this way, namely, using \(v_q\) in Eq. (7) of MST one gets a new \(v\) through Eq. (14).

### 4 Triton Binding Energy

The relativistic bound-state Faddeev equation was solved using the boosted t-matrix \(t_r\) of Eq. (3). In Table 1 and 2 the results for the triton binding energies using several potential using the MST and CPS methods are displayed. The precision of the partial wave decomposition belongs to 5ch (S-wave Approximation). In the case of MST (Table 1) the results [18] show that the triton binding energies obtained from the relativistic calculation are about 400keV smaller compared to the one calculated nonrelativistically. As mentioned in subsection 2.3 the Reid Soft Core potential and Argonne V18 potential are reasonably applied to the MST scheme but the other potential are forced to substituted into MST method.

Also in the case of CPS (Table 2) the differences appear about 100 keV. The CD-Bonn potential and Nijmegen potential are naturally applied to CPS scheme, but others are forced to substituted into CPS method. This value is significantly smaller than a MSC result [18]. The reason for this overestimation of a relativistic effect on the binding energy can be attributed to a different construction of the relativistic off-shell t-matrix \(t_r\).\(^1\)

In Table 3 we demonstrate the convergence for partial wave decomposition using CD-Bonn potential by CPS scheme. In order to obtain beyond 3 digits accuracy the total spin \(j\) in the subsystem of nucleon pair, needs 4 (34 ch).

We also included the Wigner spin rotation as outlined in [26]. Thereby the Balian-Brezin method[27] in handling the permutations is quite useful. In Table 4 the triton binding energies are shown allowing charge independence breaking (CIB) [28] and Wigner spin rotations. Wigner spin rotation effects reduce the binding energy by only about 2 keV.

### 5 Summary

A phase-shift equivalent 2N potential \(v_q\) in the relativistic 2N Schrödinger equation is related to the potential \(v\) in the nonrelativistic Schrödinger equation by the momentum scale transformation scheme and the Coester-Pieper-Serdude scheme. The boosted potential \(v_q\) is related to \(v\) by

---

\(^1\) In the former Proceedings [25] we would have thought that MST has a sort of defect because we need a new potential \(v\) in Eq. (14).

### Table 1

<table>
<thead>
<tr>
<th>potential</th>
<th>rel. (MST)</th>
<th>nonrel.</th>
<th>diff.</th>
</tr>
</thead>
<tbody>
<tr>
<td>RSC [24]</td>
<td>-6.59</td>
<td>-7.02</td>
<td>0.43</td>
</tr>
<tr>
<td>AV18 [22]</td>
<td>-7.23</td>
<td>-7.66</td>
<td>0.43</td>
</tr>
<tr>
<td>CD-Bonn [2]</td>
<td>-7.98</td>
<td>-8.33</td>
<td>0.35</td>
</tr>
<tr>
<td>Nijmegen II [23]</td>
<td>-7.22</td>
<td>-7.65</td>
<td>0.43</td>
</tr>
<tr>
<td>Nijmegen I [23]</td>
<td>-7.71</td>
<td>-8.00</td>
<td>0.29</td>
</tr>
<tr>
<td>Nijmegen93 [23]</td>
<td>-7.46</td>
<td>-7.76</td>
<td>0.30</td>
</tr>
</tbody>
</table>

### Table 2

<table>
<thead>
<tr>
<th>potential</th>
<th>rel. (CPS)</th>
<th>nonrel.</th>
<th>diff.</th>
</tr>
</thead>
<tbody>
<tr>
<td>RSC [24]</td>
<td>-6.97</td>
<td>-7.02</td>
<td>0.05</td>
</tr>
<tr>
<td>AV18 [22]</td>
<td>-7.59</td>
<td>-7.66</td>
<td>0.07</td>
</tr>
<tr>
<td>CD-Bonn [2]</td>
<td>-8.22</td>
<td>-8.33</td>
<td>0.11</td>
</tr>
<tr>
<td>Nijmegen II [23]</td>
<td>-7.58</td>
<td>-7.65</td>
<td>0.07</td>
</tr>
<tr>
<td>Nijmegen I [23]</td>
<td>-7.90</td>
<td>-8.00</td>
<td>0.10</td>
</tr>
<tr>
<td>Nijmegen93 [23]</td>
<td>-7.68</td>
<td>-7.76</td>
<td>0.08</td>
</tr>
</tbody>
</table>

### Table 3

<table>
<thead>
<tr>
<th>potential</th>
<th>5ch (S)</th>
<th>18ch (2)</th>
<th>26ch (3)</th>
<th>34ch (4)</th>
</tr>
</thead>
<tbody>
<tr>
<td>rel.</td>
<td>-8.219</td>
<td>-8.123</td>
<td>-8.143</td>
<td>-8.147</td>
</tr>
<tr>
<td>diff.</td>
<td>0.112</td>
<td>0.107</td>
<td>0.098</td>
<td>0.100</td>
</tr>
</tbody>
</table>
Table 4. The theoretical predictions for the relativistic and non-relativistic triton binding energies in MeV. All numbers are 34 channels results. The second column is the same as the last column in Table 3. The results in the third column take charge dependence\([28]\) into account. In addition the result of the fourth column contains also Wigner spin rotation effects.

<table>
<thead>
<tr>
<th></th>
<th>np only</th>
<th>np+nn</th>
<th>Wigner rot.</th>
<th>diff.</th>
</tr>
</thead>
<tbody>
<tr>
<td>nonrel.</td>
<td>-8.247</td>
<td>-8.005</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>rel.</td>
<td>-8.147</td>
<td>-7.916</td>
<td>-7.914</td>
<td>-0.002</td>
</tr>
<tr>
<td>diff.</td>
<td>0.100</td>
<td>0.089</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

Eq.(16). With these potentials we generate the relativistic fully-off-shell t-matrix \(t_q\), which enters into the relativistic Faddeev equation. We solve the relativistic bound state Faddeev equation and compare the binding energy for the triton with the one obtained from a non-relativistic calculation with the same input interaction.

According to the case of CPS scheme we find that the difference between the two calculations is only about 90 keV including CIB, where the relativistic calculation gives slightly less binding. Taking Wigner spin rotations into account in the relativistic calculation reduces the binding energy by a very small amount, 2 keV, indicating that Wigner rotations of the spin have essentially no effect on the predicted value of the binding energy. Applications to the 3-body continuum are in progress. Recently \([26]\) the formulation lined out above has been used to study the low energy \(\text{Ay} \) puzzle in neutron-deuteron scattering. In the intermediate energy regime the formulation has been applied to exclusive proton-deuteron scattering cross sections at 508 MeV \([29, 30]\) based on a formulation of the Faddeev equations which does not employ a partial wave decomposition \([31]\). The approach can also be extended and applied to electromagnetic processes\([32, 33]\).

**Acknowledgments**

This work was partially supported by the 2008-2011 polish science funds as a research project No. N N202 077435. It was also partially supported by the Helmholtz Association through funds provided to the virtual institute “Spin and strong QCD” (VH-VI-231). The numerical calculations were performed on the IBM Regatta p690+ of the NIC in Jülich, Germany.

**References**